| | Case 5:19-cv-06226-EJD Document 1 | 09 Filed 02/15/21 Page 1 0/ 25 | | | |
|------------|---|---|--|--|--|
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| 9 | Attorneys for Plaintiffs NEO4J, INC. and NEO4J SWEDEN AB | | | | |
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| 11 | UNITED STATES DISTRICT COURT | | | | |
| 12 | NORTHERN DISTRICT OF CALIFORNIA | | | | |
| 13 | NEO4J, INC., a Delaware corporation; and NEO4J SWEDEN AB, a Swedish | CASE NO. 5:19-cv-06226-EJD | | | |
| 14 | corporation, | [PROPOSED] STIPULATED JUDGMENT AND PERMANENT INJUNCTION | | | |
| 15 | Plaintiffs, | AND I EXMANENT INJUNCTION | | | |
| 16 | V. | | | | |
| 17 | GRAPH FOUNDATION, INC., an Ohio corporation, GRAPHGRID, INC., an Ohio | | | | |
| 18 | corporation, and ATOMRAIN INC., a Nevada corporation, | | | | |
| 19 | Defendants. | | | | |
| 20 | 2 51511000110 | | | | |
| 21 | Plaintiffs Neo4j, Inc. and Neo4j Sweden AB (collectively "Neo4j" or "Plaintiffs"), and | | | | |
| 22 | Graph Foundation, Inc. ("GFI"), AtomRain and GraphGrid (GFI, AtomRain and GraphGrid | | | | |
| 23 | collectively "Defendants" and with Plaintiffs collectively "Parties"), through their undersigned | | | | |
| 24 | counsel, hereby stipulate and move this Court for entry of judgment and a permanent injunction | | | | |
| 25 | ("Stipulated Judgment"): | | | | |
| 26 | Accordingly, IT IS HEREBY ADJUDGED AND ORDERED that: | | | | |
| 27 | 1. Neo4j and Defendants have entered into a Confidential Settlement Agreement to | | | | |
| 28 RLEY | resolve this action and these parties have agreed on terms of this Stipulated Judgment. | | | | |
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- 2. On Plaintiffs' claims for (a) trademark infringement, 15 U.S.C. § 1114; (b) false designation of origin and false advertising, 15 U.S.C. § 1125(a); (c) federal unfair competition, 15 U.S.C. § 1125(a); (d) state unfair competition, Cal. Bus. Prof. Code §§ 17200 et seq.; (e) violations of the Digital Millennium Copyright Act ("DMCA"), 17 U.S.C. § 1202(b); and (f) breach of license agreement, Plaintiffs shall recover the injunctive and equitable relief against Defendants herein.
- 3. Defendants affirm and acknowledge that Neo4j, Inc. is the rightful owner in the United States of all right, title and interest in and to U.S. Trademark Registration No. 4,784,280 for the word mark "NEO4J" covering the goods and services in International Classes, 009, 035, 041, 042 and 045 (the "Neo4j® Mark"). Defendants affirm and acknowledge that the Neo4j® Mark and its registration are valid and subsisting. Defendants agree and affirm that they will not at any time or for any reason challenge either the validity of the Neo4j® Mark, its registration or the ownership thereof, or assist any third party in challenging the validity of the Neo4j® Mark or the registration thereof.
- 4. Defendants also declare and affirm that Neo4j, Inc. is the owner of U.S. Trademark Application No. 90056224 for the word mark "NEO4J." Defendants affirm and acknowledge that the word mark "NEO4J" subject to that application valid and subsisting. Furthermore, Defendants agree and affirm that they will abandon all efforts to oppose this application and will not challenge the validity or ownership thereof, or the validity or ownership of any resulting registration thereof, or assist any third party in opposing this application or challenging the validity or ownership of the mark subject to this application or the validity of any resulting registration thereof.
- 5. Defendants further declare and affirm that Plaintiffs' inclusion of the Commons Clause in the Neo4j Sweden Software License, and example of which is attached hereto as Exhibit A, is valid; and Defendants' removal, replacement and/or omission thereof, and related copyright management information from source code to which Plaintiffs hold the copyright, was not authorized by Plaintiffs, allowed by the terms of the Neo4j Sweden Software License and violated the DMCA, 17 U.S.C § 1202(b) ("DMCA Violation").
- 6. Defendants agree and affirm that they will not assist or encourage John Mark Suhy, PureThink LLC and iGov Inc. or any third party in challenging the validity of the Neo4j Sweden

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Software License, or in the copying and distribution of source code with a DMCA Violation, including ONgDB version 3.4, ONgDB version 3.5, ONgDB version 3.6 and any subversions or derivatives thereof, or that has been subject to the Neo4j Sweden Software License, including Neo4j® Enterprise Edition 3.4, Neo4j® Enterprise Edition 3.5, or any subsequent versions, subversions, or derivatives thereof.

- 7. Defendants, as well as their shareholders, directors, officers, agents, employees, parents, subsidiaries, successors and assigns, and all those acting under their direction, control or on their behalf, as well as any entity that is spun-off from or formed by them, or acquires or merges with any Defendant are hereby permanently enjoined as follows:
- Defendants may not make further use of, or fork any source code first (a) released under the Neo4j Sweden Software License, including Neo4j® Enterprise Edition 3.4, Neo4j® Enterprise Edition 3.5, or any subsequent subversions or derivatives thereof.
- (b) Defendants shall not offer for sale, advertise, promote, represent or refer to ONgDB as follows:
 - i. A free and open source drop-in replacement of Neo4j® Enterprise Edition distributions with the same version number;
 - ii. A drop-in replacement for commercially licensed Neo4j® Enterprise Edition;
 - iii. A drop-in replacement for Neo4j® Enterprise Edition under the GNU Affero General Public License, version 3 ("AGPLv3"), without limitations on causal cluster instances, cores, or production usage;
 - iv. A fork of the Neo4j® graph database platform that adds enterprise code back into Neo4j® core; and
 - v. One hundred percent (100%) free and open source version of Neo4j® Enterprise Edition version 3.4, Neo4j® Enterprise Edition version 3.5, or any version of Neo4j® Enterprise Edition released by Neo4j thereafter.
- Defendants shall not represent that Neo4j Sweden AB's inclusion of the (c) Commons Clause to the license governing Neo4j® Enterprise Edition violated the terms of AGPLv3, or make similar statements.

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| | (d) | Defendants shall not represent that the Free Software Foundation (FSF) or |
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| hat any gover | nment | agency determined and/or confirmed that (a) the inclusion of the Commons |
| Clause to any | license | governing Neo4j® Enterprise Edition violated the terms of AGPLv3; and/or |
| (b) the Commo | ons Cla | use can be removed from any software license governing Neo4j® Enterprise |
| Edition and/or | ONgD | В. |

- Defendants may only make further use of the following publicly available (e) open source code, subject to the terms of their respective open source licenses: (i) Neo4j Community Edition Source Code under the GNU General Public License, version 3 ("GPL"); (ii) Neo4j® Enterprise Edition version 3.2.14 source code released under the AGPLv3; (iii) Neo4j® Enterprise Edition version 3.3.10 source code released under the AGPLv3; (iv) Neo4j® Enterprise Edition version 3.4.0.RC02 source code released under the AGPLv3. Nothing herein, however, shall be construed as a license or otherwise entitle Defendants to use any source code, patches or source code commits for Neo4j® Enterprise Edition version 3.3 or Neo4j® Enterprise Edition version 3.4 that were first released under the Neo4j Sweden Software License. Further, nothing herein shall be construed as a license or otherwise entitle Defendants to use or fork, any of Plaintiffs' source code that was first released as Neo4j® Enterprise Edition version 3.5 or otherwise under the Neo4j Sweden Software License, including but not limited to, all beta releases, release candidates, production releases, stable releases, and official releases, or any subsequent subversions, patches or derivatives thereof.
- (f) Defendants may not make representations about the AGPLv3, and shall instead refer to the Free Software Foundation's website for any interpretation of its meaning.
- (g) Defendants may continue to use the name "ONgDB" for its products so long as (i) it states on any website and/or any public statement that the name stands for "Open Native Graph DB" and removes all references to ONgDB in reference to Plaintiffs and the Neo4j® Mark, other than what is allowed in Paragraph 7(r); and (ii) restarts the version number for ONgDB to version 1.0.
- (h) Within three (3) days of entry of this Stipulated Judgment, Defendants shall permanently remove, take down, destroy and prevent further access to all source code, object code,

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binaries, build files, build scripts and distributions from its repositories located at https://github.com/graphfoundation and https://hub.docker.com/r/graphfoundation that contains any Neo4j® Enterprise Edition source code with a DMCA Violation or that was first released subject to the Neo4j Sweden Software License, including ONgDB version 3.4, ONgDB version 3.5, ONgDB version 3.6, and any subversions thereof.

- (i) Within seven (7) days of entry of this Stipulated Judgment, Defendants shall permanently remove, take down, destroy and prevent further access to any version of ONgDB (or any similar software created and/or maintained by Defendants that is within their possession, custody or control) that contains any Neo4j® Enterprise Edition source code with a DMCA Violation or that was first released subject to the Neo4j Sweden Software License, including ONgDB version 3.4, ONgDB version 3.5, ONgDB version 3.6 and any subversions or derivatives thereof. This removal and destruction shall be effectuated regardless of where that code resides, Defendants' websites (i.e., https://www.graphfoundation.org/projects/ongdb/, e.g., https://www.graphgrid.com/ongdb/, https://www.graphgrid.com/gdp/, and https://www.atomrain.com/products/, and any the Content Delivery Services invoked therein), AWS, AWS Gov Cloud, Docker, GitHub and/or any other source code repository or host service.
- (j) Within ten (10) days of entry of this Stipulated Judgment, Defendants shall identify in writing any known third-party commercial and/or governmental use of any version of ONgDB containing any Neo4j Enterprise Edition source code with a DMCA Violation, that was first released subject to the Neo4j Sweden Software License, or not otherwise permitted for use by Paragraph 7(e).
- (k) Defendants shall not offer any development, support, maintenance or hosting services for any version of ONgDB that includes any Neo4j® Enterprise Edition source code with a DMCA Violation or that was first released subject to the Neo4j Sweden Software License, including ONgDB version 3.4, ONgDB version 3.5, ONgDB version 3.6 and any subversions or derivatives thereof. Defendants can offer consulting services for commercial installations of Neo4j® software, but only where the third party receiving such services has a commercial license and has fully paid the commercial license fees to Plaintiffs. Should Defendants continue to offer

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ONgDB consulting services alongside consulting services with Neo4j® software as permitted herein, then any advertising of Defendant's services related to Neo4j® software is subject to adhering to Plaintiffs' then-current Trademark Policy.

- Defendants will not make any negative or disparaging comments or (1) representations about Plaintiffs, and their founders, officers, directors, investors, employees, customers, products, partnership practices, licensing practices or pricing.
- (m) Defendants will take down, delete and otherwise remove all webpages, posts on GitHub, discussion forums, social media posts and blog posts (and all links thereto) that they own, control, or have the ability and/or right to remove wherein they (i) reference Plaintiffs, their licensing policies and practices, (ii) make the same or similar statements referenced in Paragraph 7(b) above, or (iii) use the Neo4j® Mark. Defendants also shall not use the Neo4j® Mark as a hashtag on their respective websites or in any posts made on Twitter, Facebook or similar social media sites.
- (n) Defendants shall not make use of, or direct others to use, any of Plaintiffs' documents or materials, such as software documentation, guides, manuals, change logs, release notes and/or other publicly available technical information to create, maintain, market, use, and/or sell ONgDB (or the equivalent). Any links to Plaintiffs' documentation in Defendants' software shall be removed, or replaced with ONgDB documentation, bearing in mind that Plaintiffs' documentation is licensed under the Creative Commons Attribution-NonCommercial-ShareAlike 4.0 International (CC BY-NC-SA 4.0) and its use by third parties, such as Defendants and their customers, would be restricted to noncommercial purposes.
- (o) Defendants shall not make any statement and/or representations to any third party, affirmatively or in response to an inquiry, that state or imply that they can provide access to or can obtain any software containing any Neo4j® Enterprise Edition source code with a DMCA Violation, that is subject to the Neo4j Sweden Software License, or not otherwise permitted for use by Paragraph 7(e).
- Defendants shall not have any expressed or implied license to use and shall (p) not make any further use of any of Plaintiffs' trademarks, including U.S. Trademark Registration

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No. 4775253 for "CYPHER," Trademark Registration No. 4784280 for "NEO4J," U.S. Trademark Registration No. 4824877 for "NEO TECHNOLOGY," U.S. Trademark Registration No. 5250026 for the Neo4j Logo, and U.S. Trademark Application No. 90056224 for "NEO4J" (collectively "Plaintiffs' US Marks"), other than what is permitted in Paragraph 7(r).

- Defendants (and those acting in concert with them) will also permanently (q) remove Plaintiffs' US Marks from their websites, marketing literature, social media sites, and any other public facing resource, including all software interfaces, including, but not limited to, GUIs and command lines.
- (r) If Defendants continue to attempt to fork any of Plaintiffs' source code subject to the terms herein, their description of that source code and/or software, if it includes the Neo4j® Mark, may only be made by the following statement: "ONgDB (or any equivalent) is an independent fork of [Neo4j® Enterprise Edition version 3.2.14, Neo4j® Enterprise Edition version 3.3.10, and/or Neo4j® Enterprise Edition Source Code version 3.4.0.RC02 licensed under the AGPLv3 and/or Community Edition licensed under the GPL]. ONgDB and [Defendants to insert name of specific Defendant(s)] is not affiliated in any way with Neo4j, Inc. or Neo4j Sweden AB. Neo4j, Inc. and Neo4j Sweden AB do not sponsor or endorse ONgDB and [Defendants to insert name of the specific Defendant(s)]. Neo4j Sweden AB is the owner of the copyrights for Neo4j® software and commercial use of any source code from Neo4j® Enterprise Edition beyond [Neo4j® Enterprise Edition version 3.2.14, Neo4j® Enterprise Edition version 3.3.10, and/or Neo4j® Enterprise Edition Source Code version 3.4.0.RC02] is prohibited and could subject the user to claims of copyright infringement."
- 8. Neo4j, Inc. and AtomRain Inc. agree that the Neo4j Solution Partner Agreement between AtomRain Inc. and Neo4j, Inc. was previously terminated and no surviving terms remain in effect or enforceable other than any confidentiality obligations that remain.
- 9. As between Plaintiffs, on one hand, and Defendants, on the other, each shall bear its own costs and attorneys' fees except as specified below in Paragraph 11.
 - 10. The Parties waive any rights to appeal this Stipulated Judgment.

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| 1 | 11. This Stipulated Judgment adjud | icates all claims in this litigation and is a final | |
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| 2 | judgment. However, the Court expressly retains jurisdiction over any action to enforce this | | |
| 3 | Stipulated Judgment and the underlying Confident | ential Settlement Agreement concurrently entered | |
| 4 | into by the Parties herewith. In any such action, | the prevailing party shall be entitled to reasonable | |
| 5 | attorneys' fees and costs. The Parties request t | hat in any future action to enforce or resolve any | |
| 6 | disputes regarding this Stipulated Judgment | and the underlying Confidential Settlement | |
| 7 | Agreement, the Court refer the matter to Hon. | Edward Davila for all purposes provided he is | |
| 8 | available. | | |
| 9 10 | Dated: February 15, 2021 | HOPKINS & CARLEY A Law Corporation | |
| 11 | | By: /s/ Jeffrey M. Ratinoff | |
| 12 | | John V. Picone III Jeffrey M. Ratinoff | |
| 13 | | Attorneys for Plaintiffs NEO4J, INC. and NEO4J SWEDEN AB | |
| 14 | Dated: February 15, 2021 | BERGESON, LLP | |
| 15 | | By: /s/ John D. Pernick | |
| 16 | | John D. Pernick Attorneys for Defendant GRAPH FOUNDATION, INC. | |
| 17 | Dated: February 15, 2021 | SKAGGS FAUCETTE LLP | |
| 18 | | By: /s/ Jeffrey E. Faucette | |
| 19 | | Jeffrey E. Faucette Attorneys for Defendants GRAPHGRID, | |
| 20 | | INC. and ATOMRAIN INC. | |
| 21 | PURSUANT TO STIPULATION, IT IS | SO OPDEDED AND ADILIDICATED | |
| 22 | TORSCANT TO STILL CLATION, IT IS | SO ORDERED AND ADJUDICATED. | |
| 23 | | | |
| 24 | Dated:, 2021 | DWARD J. DAVILA | |
| 25 | | nited States District Court Judge | |
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ATTESTATION OF E-FILED SIGNATURE

Pursuant to Local Rule 5-1(i)(3), I hereby certify that I have obtained the concurrence in the filing of this document from all signatories for whom a signature is indicated by a "conformed" signature (/s/) within this electronically filed document and I have on file records to support this concurrence for subsequent production to the Court if so ordered or for inspection upon request.

Dated: February 15, 2021 HOPKINS & CARLEY A Law Corporation

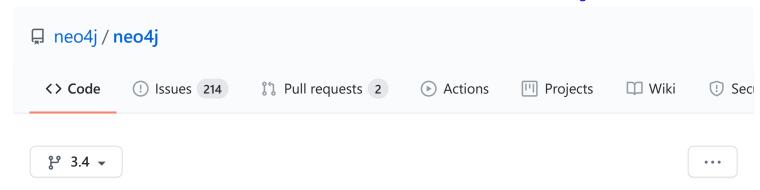
By: /s/ Jeffrey M. Ratinoff

John V. Picone III
Jeffrey M. Ratinoff
Attorneys for Plaintiffs
NEO4J, INC. and NEO4J SWEDEN AB

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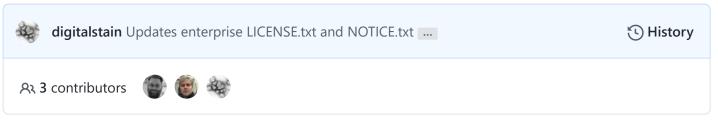
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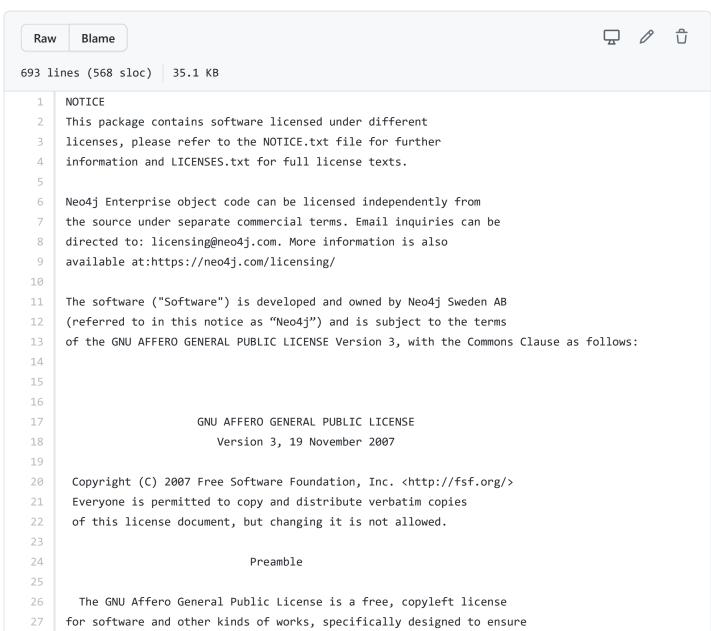
EXHIBIT A



neo4j / enterprise / neo4j-enterprise / LICENSE.txt

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cooperation with the community in the case of network server software.

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"The Program" refers to any copyrightable work licensed under this License. Each licensee is addressed as "you". "Licensees" and "recipients" may be individuals or organizations.

To "modify" a work means to copy from or adapt all or part of the work in a fashion requiring copyright permission, other than the making of an exact copy. The resulting work is called a "modified version" of the earlier work or a work "based on" the earlier work.

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An interactive user interface displays "Appropriate Legal Notices" to the extent that it includes a convenient and prominently visible feature that (1) displays an appropriate copyright notice, and (2) tells the user that there is no warranty for the work (except to the extent that warranties are provided), that licensees may convey the work under this License, and how to view a copy of this License. If the interface presents a list of user commands or options, such as a menu, a prominent item in the list meets this criterion.

1. Source Code.

The "source code" for a work means the preferred form of the work for making modifications to it. "Object code" means any non-source form of a work.

A "Standard Interface" means an interface that either is an official

standard defined by a recognized standards body, or, in the case of interfaces specified for a particular programming language, one that is widely used among developers working in that language.

The "System Libraries" of an executable work include anything, other than the work as a whole, that (a) is included in the normal form of packaging a Major Component, but which is not part of that Major Component, and (b) serves only to enable use of the work with that Major Component, or to implement a Standard Interface for which an implementation is available to the public in source code form. A "Major Component", in this context, means a major essential component (kernel, window system, and so on) of the specific operating system (if any) on which the executable work runs, or a compiler used to produce the work, or an object code interpreter used to run it.

The "Corresponding Source" for a work in object code form means all the source code needed to generate, install, and (for an executable work) run the object code and to modify the work, including scripts to control those activities. However, it does not include the work's System Libraries, or general-purpose tools or generally available free programs which are used unmodified in performing those activities but which are not part of the work. For example, Corresponding Source includes interface definition files associated with source files for the work, and the source code for shared libraries and dynamically linked subprograms that the work is specifically designed to require, such as by intimate data communication or control flow between those subprograms and other parts of the work.

The Corresponding Source need not include anything that users can regenerate automatically from other parts of the Corresponding Source.

The Corresponding Source for a work in source code form is that same work.

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218 219 of having them make modifications exclusively for you, or provide you with facilities for running those works, provided that you comply with the terms of this License in conveying all material for which you do not control copyright. Those thus making or running the covered works for you must do so exclusively on your behalf, under your direction and control, on terms that prohibit them from making any copies of your copyrighted material outside their relationship with you.

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You may charge any price or no price for each copy that you convey, and you may offer support or warranty protection for a fee.

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- b) The work must carry prominent notices stating that it is released under this License and any conditions added under section ${\sf rel}$
- 7. This requirement modifies the requirement in section 4 to "keep intact all notices".

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